

EXPRESS MAIL LABEL NO.: EV 329 632 850 US

Form PTO-1449

Sheet 1 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/646,484

Att'y Docket No.: 7678.791

Filing Date: August 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING AND USING SUCH COMPOSITIONS AND DEVICES

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Application Publication Documents

Examiner Initial*	Patent Number	Publication Date	Name	Class	Sub Class	Filing Date
A1.	US 2001/0046654	11/29/01	Zavitsanos et al.	433	32	03/23/01
A2.	US 2002/0006387	01/17/02	Sagel et al.	424	53	05/24/01
A3.	US 2002/0006388	01/17/02	Sagel et al.	424	53	05/24/01
A4.	US 2002/0012685	01/31/02	Sagel et al.	424	401	05/24/01
A5.	US 2002/0018754	02/14/02	Sagel et al.	424	49	03/15/99
A6.	US 2002/0081555	06/27/02	Wiesel	433	215	02/04/02
A7.	US 2002/0164292	11/07/02	Peterson et al.	424	53	01/25/02
A8.	US 2002/0182154	12/05/02	McLaughlin	424	53	08/08/01
A9.	US 2002/0187111	12/12/02	Xu et al.	424	53	02/22/02
A10.	US 2002/0187112	12/12/02	Xu et al.	424	53	02/22/02
A11.	US 2003/0003421	01/02/03	Besenheider et al.	433	215	07/02/01
A12.	US 2003/0012747	01/16/03	Peterson	424	53	03/23/01
A13.	US 2003/0036037	02/20/03	Zavitsanos et al.	433	215	08/05/02
A14.	US 2003/0044631	03/06/03	Sagal et al.	428	548	08/22/02

Examiner:

Date Considered:

10-27-03

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A15.	US 2003/0068284	04/10/03	Sagel et al.	424	53	12/17/02
A16.	US 2003/0068601	04/10/03	Zavitsanos et al.	433	215	11/20/02
A17.	US 2003/0082114	05/01/03	Kim et al.	424	53	02/13/01
A18.	US 2003/0133884	07/17/03	Chang et al.	424	53	09/13/02
A19.	US 2003/0194382	10/16/03	Chang et al.	424	53	06/20/02
A20.	US 2003/0198606	10/23/03	Kim et al.	424	53	05/27/03

U.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
A21.	2,835,628	05/20/58	Saffir	167	84	04/01/57
A22.	3,688,406	09/05/72	Porter et al.	32	40 R	08/07/70
A23.	Re. 33,093	10/17/89	Schiraldi et al.	424	676	11/16/88
A24.	5,112,225	05/12/92	Dieso	433	48	08/02/91
A25.	5,310,563	05/10/94	Curtis et al.	424	616	10/25/91
A26.	5,326,685	07/05/94	Gaglio et al.	433	215	09/14/92
A27.	5,346,061	09/13/94	Newman et al.	206	221	08/06/92
A28.	5,425,953	06/20/95	Sintov et al.	424	404	04/22/92

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For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING AND USING SUCH COMPOSITIONS AND DEVICES

A29.	5,575,654	11/19/96	Fontenot	433	215	03/15/94
A30.	5,562,449	10/08/96	Jacobs et al.	433	215	04/18/95
A31.	5,611,687	03/18/97	Wagner	433	80	11/06/95
A32.	5,616,027	04/01/97	Jacobs et al.	433	37	04/15/96
A33.	5,639,445	06/17/97	Curtis et al.	424	49	01/06/94
A34.	5,707,235	01/13/98	Knutson	433	213	04/03/95
A35.	5,711,935	01/27/98	Hill et al.	424	49	05/10/94
A36.	5,769,633	06/23/98	Jacobs et al.	433	37	03/05/97
A37.	5,816,802	10/06/98	Montgomery	433	80	09/25/95
A38.	5,846,058	12/08/98	Fischer	433	216	09/26/96
A39.	5,863,202	01/26/99	Fontenot et al.	433	215	08/12/96
A40.	5,879,691	03/09/99	Sagel et al.	429	401	06/06/97
A41.	5,891,453	04/06/99	Sagel et al.	424	401	03/17/98
A42.	5,894,017	04/13/99	Sagel et al.	424	401	06/06/97
A43.	5,924,863	07/20/99	Jacobs et al.	433	80	06/11/97
A44.	5,980,249	11/09/99	Fontenot	433	80	06/11/98
A45.	5,989,569	11/23/99	Dirksing et al.	424	401	06/06/97

Examiner: 

Date Considered: 10-27-05

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Applicant: Peter M. Allred, et al.
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Confirmation No.: Unknown
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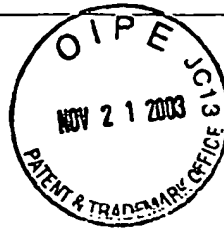
COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR
DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING
AND USING SUCH COMPOSITIONS AND DEVICES

A46.	6,045,811	04/04/00	Dirksing et al.	424	401	06/06/97
A47.	6,096,328	08/01/00	Sagel et al.	424	401	11/19/98
A48.	6,106,293	08/22/00	Wiesel	433	215	12/04/98
A49.	6,126,443	10/03/00	Burgio	433	215	12/21/98
A50.	6,136,297	10/24/00	Sagel et al.	424	49	03/17/98
A51.	6,142,780	11/07/00	Burgio	433	80	06/15/99
A52.	6,155,832	12/05/00	Wiesel	433	215	10/08/99
A53.	6,183,251	02/06/01	Fischer	433	48	02/26/99
A54.	6,197,331	03/06/01	Lerner et al.	424	448	07/24/97
A55.	6,247,930	06/19/01	Chiang et al.	433	80	09/29/99
A56.	6,274,122	08/14/01	McLaughlin	424	53	05/17/99
A57.	6,277,458	08/21/01	Dirksing et al.	424	42.3	03/15/99
A58.	6,280,196	08/28/01	Berghash	433	215	10/16/00
A59.	6,287,120	09/11/01	Wiesel	433	215	03/27/00
A60.	6,322,360	11/27/01	Burgio	433	80	10/22/99
A61.	6,343,932	02/05/02	Wiesel	433	215	11/13/00
A62.	6,419,903	07/16/02	Xu et al.	424	49	08/20/01

Examiner:

Date Considered: 10-27-05

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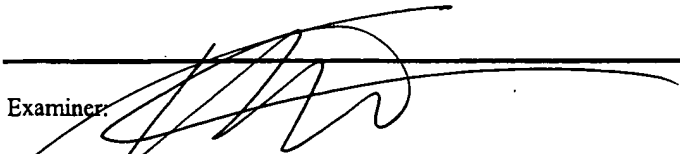
Sheet 5 of 6

Applicant: Peter M. Allred, et al.
Serial No.: 10/646,484
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For:

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COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR
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AND USING SUCH COMPOSITIONS AND DEVICES

A63.	6,419,906	07/16/02	Xu et al.	424	53	03/12/01
A64.	6,435,873	08/20/02	Burgio	433	80	10/10/00
A65.	6,440,396	08/27/02	McLaughlin	424	49	02/29/00
A66.	6,458,380	10/01/02	Leaderman	424	443	11/09/00
A67.	6,461,158	10/08/02	Sagel et al.	433	30	08/14/00
A68.	6,497,575	12/24/02	Zavitsanos et al.	433	215	11/21/01
A69.	6,500,408	12/31/02	Chen	424	53	01/27/01
A70.	6,503,486	01/07/03	Xu et al.	424	53	02/22/02
A71.	6,506,053	01/14/03	Wiesel	433	215	02/04/02
A72.	6,514,483	02/04/03	Xu et al.	424	53	02/22/02
A73.	6,514,484	02/04/03	Rajaiah et al.	424	53	02/28/02
A74.	6,551,579	04/22/03	Sagel et al.	424	53	05/29/01

Examiner: 

Date Considered: 10 27-05

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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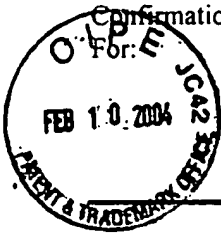
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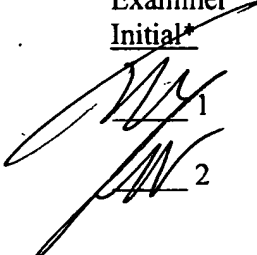
Filing Date: August 22, 2003

Group: 3749

Confirmation No.: 9447

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE
CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND
METHODS OF MANUFACTURING SUCH COMPOSITIONS AND
DEVICES

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents**BEST AVAILABLE COPY**

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
 1	6,682,721	01-27-04	Kim et al.
2	6,649,147	11-18-03	Ye et al.

References Cited by Applicants

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EXPRESS MAIL LABEL NO.: EV 443087725 US
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For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION
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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

1	4,900,721	2/13/90	Bansemir
2	5,008,093	04/16/91	Merianos
3	5,108,742	04/28/04	Merianos
4	5,183,901	02/02/93	Login et al.
5	6,080,397	06/27/00	Pfirmann
6	6,689,344	2/10/04	Chang et al.
7	6,730,316	05/04/2004	Chen

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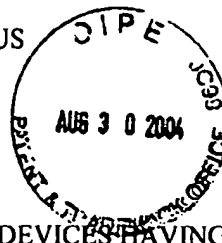
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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

1

Technical Bulletin: Hydrogen Peroxide-Polyvinylpyrrolidone Polymer
Complexes, International Specialty Products, 1361 Alps Road, Wayne New
Jersey 07470, www.ispcorp.com (Dec. 2003)

References Cited by Applicants

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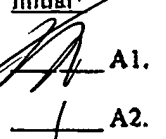
August 22, 2003

Group: 3749

For:

Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
 A1.	165,584	07/13/1875	Hopfen	--	--	06/10/1875
A2.	1,637,153	07/26/27	Lawton	--	--	10/23/26
A3.	2,257,709	09/30/41	Anderson	128	260	10/14/38
A4.	3,339,547	09/05/67	Drabkowski	128	260	11/27/64
A5.	3,527,219	09/08/70	Greenberg	128	260	08/21/67
A6.	3,577,640	05/04/71	Lee	32	32	10/08/69
A7.	3,624,909	12/07/71	Greenberg	32	40	05/26/70
A8.	3,955,281	05/11/76	Weitzman	32	14 B	12/05/74
A9.	4,044,762	08/30/77	Jacobs	128	136	08/23/76
A10.	4,063,552	12/20/77	Going et al.	128	136	04/02/76
A11.	4,064,628	12/27/77	Weitzman	32	14 B	03/08/76
A12.	4,138,814	02/13/79	Weitzman	32	14 B	12/22/77
A13.	4,902,227	02/20/90	Smith	433	215	05/04/88
A14.	5,051,476	09/24/91	Uji et al.	525	186	03/23/90
A15.	5,085,585	02/04/92	Zimble	433	80	02/05/91
A16.	5,211,559	05/18/93	Hart et al.	433	80	06/02/92
A17.	5,356,291	10/18/94	Darnell	433	216	01/26/93

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A18.	5,376,006	12/27/94	Fischer	433	215	09/24/92
A19.	5,573,399	11/12/96	McClintock, II	433	80	10/24/94
A20.	5,631,000	05/20/97	Pellico	424	53	03/11/96
A21.	5,702,251	12/30/97	McClintock, II	433	80	05/31/96
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<u>Examiner</u>	<u>Document No.</u>	<u>Publication Date</u>	<u>Country or Pat Office</u>
A34.	WO 03/000216	3 Jan 2003	WIPO
A35.	WO 88/06869	22 September 1988	WIPO

Examiner:

Date Considered:

10-27-05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 3 of 3

Applicant: Peter M. Allred et al.

Serial No.: 10/646,484

Att'y Docket No.: 7678,791

Filing Date: August 22, 2003

Group: 3749

For: Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

References Cited by Applicants

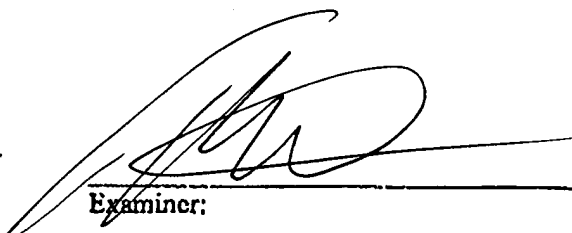
While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:10-27-05
Date Considered:

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